

For FirstSouthwest and/or Broker/Dealers for which it clears transactions

Account Title: _____

Account Number: _____

This form is a part of your application for an account with First Southwest Company ("FSC") and is subject to the terms of your Customer Agreement with FSC.

Laws governing T.O.D., community property and joint ownership of property vary from state to state. You understand that you are responsible for verifying that the T.O.D. and joint registration you select is valid in your state. Consult your personal legal advisor.

Please select the type of T.O.D. account below by checking the box to the left of the T.O.D. account description.

- Single Party Account with T.O.D. Designation. The account holder owns the account. On the death of the account holder, ownership of the account passes to the T.O.D. beneficiaries of the account. Enter the name or names of the T.O.D. beneficiaries below, the social security number ("SSN") or tax identification number ("TIN") and the mailing address of each.
- Multiple Party Account with Right of Survivorship and T.O.D. Designation. The joint holders of the account own the account in proportion of their respective net contributions to the account. FSC may pay any sum in the account to any joint account holder at any time. On the death of the last surviving account holder, the ownership of the account passes to the T.O.D. beneficiaries. Each joint account holder must sign this form in the spaces provided below. Enter the name or names of the T.O.D. beneficiaries below, the SSN or TIN and the mailing address of each.

	Beneficiary Name	SSN or TIN	Mailing Address
1.	_____	_____	_____ _____ _____
2.	_____	_____	_____ _____ _____
3.	_____	_____	_____ _____ _____
4.	_____	_____	_____ _____ _____

Account Holder Signature

Joint Account Holder Signature (Required if joint account)

Print Name

Print Name

Date

Date

